

UNITED STATES DISTRICT COURT  
DISTRICT OF PUERTO RICO

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UBS FINANCIAL SERVICES INCORPORATED OF :  
PUERTO RICO and UBS TRUST COMPANY OF :  
PUERTO RICO, :  
: Case No. 3:15-cv-03099-FAB  
Plaintiffs, :  
-against- :  
: XL SPECIALTY INSURANCE COMPANY, AXIS :  
REINSURANCE COMPANY and HARTFORD FIRE :  
INSURANCE COMPANY, :  
: Defendants. :  
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**NOTICE OF APPEAL**

TO THE HONORABLE COURT:

Notice is hereby given that UBS Financial Services Incorporated of Puerto Rico and UBS Trust Company of Puerto Rico, Plaintiffs in the above named case, hereby appeal to the United States Court of Appeals for the First Circuit from the Judgment entered in this action on February 1, 2018 (Docket No. 184), which dismissed Plaintiffs' action with prejudice, and all of the underlying orders and rulings incorporated into such Judgment, including the Opinion and Order entered in this action on February 1, 2018 (Docket No. 172).

RESPECTFULLY SUBMITTED.

In San Juan, Puerto Rico and New York, New York, this 9th day of February, 2018.

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**CERTIFICATE OF SERVICE**

I HEREBY CERTIFY that on this date, February 9th, 2018, I uploaded a pdf version of this document to the Court's CM/ECF system, which will send notice electronically of its filing to all parties of record, through their counsel of record.

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